

**ANTI-MONEY LAUNDERING  
QUESTIONNAIRE FOR CORRESPONDENT BANKS**

**I/Financial Institution (F.I) profile:**

**1- Is the F.I.**

Private

Public

**2- Please complete the following information:**

▫Name of the F.I.: .....

▫Registered office address: .....

▫Mailing address: .....

▫Telephone N°: .....

▫Fax N°: .....

▫Web Site: .....

▫E-mail address: .....

▫SWIFT: .....

**3- List of names of the Executive Board Members**

1- .....

2- .....

3- .....

**4- Is the F.I. approved by any Regulatory Body?**

Yes

No

●If Yes, please specify

- Name of the Regulatory Body: .....

- Date of the Authorization: .....

- Reference of the Authorization : .....

Type of Authorization: .....

**5- What are the F.I. main activities?**

- 1- .....
- 2- .....
- 3- .....

**6- Type of Customers of the F.I.**

- 1- .....
- 2- .....
- 3- .....

**II/General A.M.L Laws, Rules and procedures:**

**1- Has the country of the F.I. implemented a special legislation to prevent money laundering and terrorism financing, in accordance with international standards and especially with FATF recommendations (if Yes, please attach copy)?**

- Yes
- No

**2- Are money laundering and terrorism financing considered, in the country of the F.I. as an offence against the laws in force?**

- Yes
- No

**3- Has the F.I. implemented a written policy and procedures against money laundering and terrorism financing in accordance with the country legislation and the FATF recommendations (if Yes, please attach copy)?**

- Yes
- No

**4- Does the F.I. provide anti-money laundering and terrorism financing training programs to relevant employees?**

- Yes
- No

**If Yes, please specify type and frequency of the training programs:**

.....  
.....

**5- Does the F.I. have an internal audit system capable of assessing its compliance to the national legislation in force?**

- Yes
- No

**6- Do the F.I. anti-money laundering and terrorism financing policy and procedures apply to all its domestic and foreign branches, subsidiaries and affiliates?**

- Yes
- No

**7- Does the F.I. internal policy provide for procedures enabling to control any due diligence achieved under customers' real identity and activity checking?**

Yes

No

**8- Does the F.I.'s anti-money laundering and terrorism financing policy provide for procedures enabling to identify and verify funds origins for international transfers?**

Yes

No

**9- Has the F.I. implemented any system capable of detecting accounts and funds related to persons or entities sanctioned and/or considered as terrorists by relevant Authorities and by the United Nations?**

Yes

No

**10- Does the F.I. have policies and procedures prohibiting:**

**- Anonymous or numbered accounts opening or maintaining**

Yes

No

**- Relationships with 'Shell Banks'**

*(A 'Shell bank' is herein defined as a bank incorporated in a jurisdiction in which it has no physical presence)*

Yes

No

**11- Does the F.I. conserve its customers' identification files?**

Yes

No

**If Yes, please specify the conservation period: ..... years**

**12- Has the F.I. implemented a monitoring system capable of detecting suspicious account activities and transactions?**

Yes

No

**13- Are suspicious transactions and activities, as defined by the FATF recommendations, reported to the local relevant Authorities?**

Yes

No

**If Yes, please specify:**

**Name of the Authority** .....

**Means of reporting** .....

**14- Does the F.I. have a process to assess, review and, where appropriate, update F.I. customers' anti-money laundering and terrorism financing policies and practices?**

Yes

No

**15- Does the F.I. have branches, subsidiaries or affiliates in countries and locations designated as “non cooperative” by the FATF?**

Yes

No

**If Yes, please confirm that your internal anti-money laundering and terrorism financing policies and practices apply to your network in these countries:**

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**Space for additional information:**

*(Please indicate which question the information is referring to)*

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<b>Name:</b>
<b>Title:</b>
<b>Function/ Dept:</b>
<b>Signature:</b>
<b>Date:</b>